

Constraint Logic Programming

Sylvain Soliman

Sylvain.Soliman@inria.fr



Project-Team LIFEWARE

MPRI 2.35.1 Course – September–November 2017

Part I: CLP - Introduction and Logical Background

- 1 The Constraint Programming paradigm
- 2 Examples and Applications
- 3 First Order Logic
- 4 Models
- 5 Logical Theories

Part II: Constraint Logic Programs

6 Constraint Languages

7 $\text{CLP}(\mathcal{X})$

8 $\text{CLP}(\mathcal{H})$

9 $\text{CLP}(\mathcal{R}, \mathcal{FD}, \mathcal{B})$

Part III: CLP - Operational and Fixpoint Semantics

10 Operational Semantics

11 Fixpoint Semantics

12 Program Analysis

Full abstraction

Theorem 1 ([JL87popl])

$$T_P^{\mathcal{X}} \uparrow \omega = O_{gs}(P)$$

$T_P^{\mathcal{X}} \uparrow \omega \subset O_{gs}(P)$ is proved by induction on the powers n of $T_P^{\mathcal{X}}$. $n = 0$, i.e., \emptyset , is trivial. Let $A_\rho \in T_P^{\mathcal{X}} \uparrow n$, there exists a rule $(A \leftarrow c | A_1, \dots, A_n) \in P$, s.t. $\{A_{1\rho}, \dots, A_{n\rho}\} \subset T_P^{\mathcal{X}} \uparrow n - 1$ and $\mathcal{X} \models c\rho$. By induction $\{A_{1\rho}, \dots, A_{n\rho}\} \subset O_{gs}(P)$. By definition of O_{gs} and \wedge -compositionality. we get $A_\rho \in O_{gs}(P)$.

$O_{gs}(P) \subset T_P^{\mathcal{X}} \uparrow \omega$ is proved by induction on the length of derivations. Successes with derivation of length 0 are ground facts in $T_P^{\mathcal{X}} \uparrow 1$. Let $A_\rho \in O_{gs}(P)$ with a derivation of length n . By definition of O_{gs} there exists $(A \leftarrow c | A_1, \dots, A_n) \in P$ s.t. $\{A_{1\rho}, \dots, A_{n\rho}\} \subset O_{gs}(P)$ and $\mathcal{X} \models c\rho$. By induction $\{A_{1\rho}, \dots, A_{n\rho}\} \subset T_P^{\mathcal{X}} \uparrow \omega$. Hence by definition of $T_P^{\mathcal{X}}$ we get $A_\rho \in T_P^{\mathcal{X}} \uparrow \omega$.

$T_P^{\mathcal{X}}$ and \mathcal{X} -models

Proposition 2

I is a \mathcal{X} -model of P iff I is a post-fixed point of $T_P^{\mathcal{X}}$, $T_P^{\mathcal{X}}(I) \subset I$

Proof.

I is a \mathcal{X} -model of P ,
iff for each clause $A \leftarrow c | A_1, \dots, A_n \in P$ and for each
 \mathcal{X} -valuation ρ , if $\mathcal{X} \models c\rho$ and $\{A_1\rho, \dots, A_n\rho\} \subset I$ then $A\rho \in I$,
iff $T_P^{\mathcal{X}}(I) \subset I$ □

$T_P^{\mathcal{X}}$ and \mathcal{X} -models

Theorem 3 (Least \mathcal{X} -model [JL87popl])

Let P be a constraint logic program on \mathcal{X} . P has a *least \mathcal{X} -model*, denoted by $M_P^{\mathcal{X}}$ satisfying:

$$M_P^{\mathcal{X}} = T_P^{\mathcal{X}} \uparrow \omega$$

Proof.

$T_P^{\mathcal{X}} \uparrow \omega = \text{lfp}(T_P^{\mathcal{X}})$ is also the least post-fixed point of $T_P^{\mathcal{X}}$, thus by Prop. 2, $\text{lfp}(T_P^{\mathcal{X}})$ is the least \mathcal{X} -model of P . □

Relating S_P^X and T_P^X operators

Theorem 4 ([JL87popl])

For every ordinal α , $T_P^X \uparrow \alpha = [S_P^X \uparrow \alpha]_X$

Proof.

The base case $\alpha = 0$ is trivial. For a successor ordinal, we have

$$\begin{aligned} [S_P^X \uparrow \alpha]_X &= [S_P^X (S_P^X \uparrow \alpha - 1)]_X \\ &= T_P^X ([S_P^X \uparrow \alpha - 1]_X) \\ &= T_P^X (T_P^X \uparrow \alpha - 1) \text{ by induction} \\ &= T_P^X \uparrow \alpha \end{aligned}$$

For a limit ordinal, we have

$$\begin{aligned} [S_P^X \uparrow \alpha]_X &= [\bigcup_{\beta < \alpha} S_P^X \uparrow \beta]_X \\ &= \bigcup_{\beta < \alpha} [S_P^X \uparrow \beta]_X \\ &= \bigcup_{\beta < \alpha} T_P^X \uparrow \beta \text{ by induction} \\ &= T_P^X \uparrow \alpha \end{aligned}$$

□

Full abstraction w.r.t. computed answers

Theorem 5 (Theorem of full abstraction [GL91iclp])

$$O_{ca}(P) = S_P^{\mathcal{X}} \uparrow \omega$$

$S_P^{\mathcal{X}} \uparrow \omega \subset O_{ca}(P)$ is proved by induction on the powers n of $S_P^{\mathcal{X}}$. $n = 0$ is trivial. Let $c|A \in S_P^{\mathcal{X}} \uparrow n$, there exists a rule $(A \leftarrow d|A_1, \dots, A_n) \in P$, s.t. $\{c_1|A_1, \dots, c_n|A_n\} \subset S_P^{\mathcal{X}} \uparrow n - 1$, $c = d \wedge \bigwedge_{i=1}^n c_i$ and $\mathcal{X} \models \exists c$. By induction $\{c_1|A_1, \dots, c_n|A_n\} \subset O_{ca}(P)$. By definition of O_{ca} we get $c|A \in O_{ca}(P)$.

$O_{ca}(P) \subset S_P^{\mathcal{X}} \uparrow \omega$ is proved by induction on the length of derivations. Successes with derivation of length 0 are facts in $S_P^{\mathcal{X}} \uparrow 1$. Let $c|A \in O_{ca}(P)$ with a derivation of length n . By definition of O_{ca} there exists $(A \leftarrow d|A_1, \dots, A_n) \in P$ s.t. $\{c_1|A_1, \dots, c_n|A_n\} \subset O_{ca}(P)$, $c = d \wedge \bigwedge_{i=1}^n c_i$ and $\mathcal{X} \models \exists c$. By induction $\{c_1|A_1, \dots, c_n|A_n\} \subset S_P^{\mathcal{X}} \uparrow \omega$. Hence by definition of $S_P^{\mathcal{X}}$ we get $c|A \in S_P^{\mathcal{X}} \uparrow \omega$.

Constraint-based Model Checking [DP99tacas]

Analysis of **unbounded states concurrent systems** by CLP programs.

Concurrent transition systems defined by condition-action rules [Shankar93acm]:

$$\text{condition } \phi(\vec{x}) \quad \text{action } \vec{x}' = \psi(\vec{x})$$

Translation into CLP clauses over one predicate p (for states)

$$p(\vec{x}) \leftarrow \phi(\vec{x}), \psi(\vec{x}', \vec{x}), p(\vec{x}').$$

The transitions of the concurrent system are in one-to-one correspondance to the CSLD derivations of the CLP program.

Proposition 6

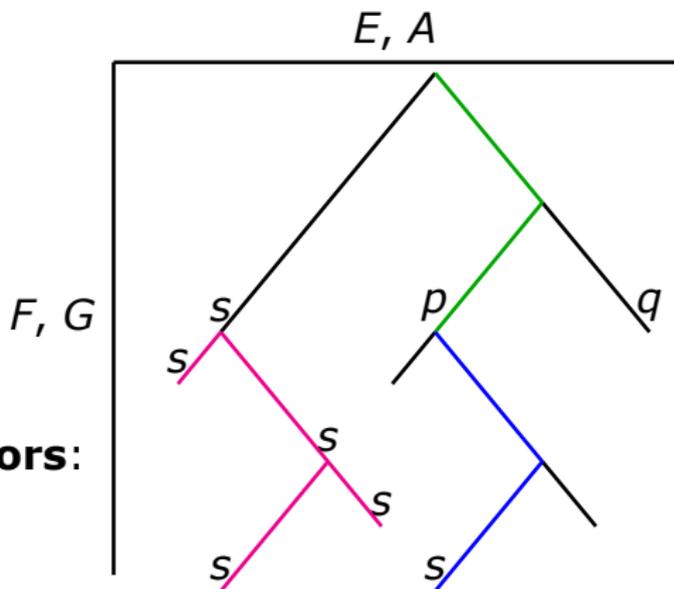
The set of states from which a set of states defined by a constraint c is reachable is the set $\text{lfp}(T_P)$

where P is the CLP program plus the clause $p(\vec{x}) \leftarrow c(\vec{x})$.

Computation Tree Logic CTL

Temporal logic for branching time:

- States described by propositional or first-order formulas
- Two **path quantifiers** for non-determinism:
 - ▶ A "for all paths"
 - ▶ E "for some path"
- Several **temporal operators**:
 - ▶ X "next time",
 - ▶ F "eventually",
 - ▶ G "always",
 - ▶ U "until".



Model Checking

Two types of interesting properties:

$AG\neg\phi$ "Safety" property.

$AF\psi$ "Liveness" property.

Duality: for any formula ϕ we have

$EF\phi = \neg AG\neg\phi$ and

$EG\phi = \neg AF\neg\phi$.

Model checking is an algorithm for computing, in a given Kripke structure $K = (S, I, R)$, $I \subset S, R \subset S \times S$ (S is the set of states, I the initial states and R the transition relation), the set of states which satisfy a given CTL formula ϕ , i.e., the set $\{s \in S \mid K, s \models \phi\}$.

(Symbolic) Model Checking

Basic algorithm

When S is finite, represent K as a graph, and iteratively label the nodes with the subformulas of ϕ which are true in that node.

Add A to the states satisfying A ($\neg A, A \wedge B, \dots$)

Add $EF\phi$ ($EX\phi$) to the (immediate) predecessors of states labeled by ϕ

Add $E(\phi U \psi)$ to the predecessor states of ψ while they satisfy ϕ

Add $EG\phi$ to the states for which there exists a path leading to a non trivial strongly connected components of the subgraph restricted to the states satisfying ϕ

Symbolic model checking

Use OBDD's to represent states and transitions as boolean formulas (S is finite).

Constraint-based Model Checking

Constraint-based model checking [DP99tacas] applies to Kripke structures with an **infinite set of states**.

Numerical constraints provide a finite representation for an infinite set of states.

Constraint logic programming theory:

$$EF(\phi) = \text{lfp}(T_{R \cup \{p(\vec{x}) \leftarrow \phi\}})$$

$$EG(\phi) = \text{gfp}(T_{R \wedge \phi})$$

Prototype implementation *DMC* in Sicstus Prolog + Simplex,
 $\text{CLP}(\mathcal{H}, \mathcal{FD}, \mathcal{R}, \mathcal{B})$

Part IV

Logical Semantics

Part IV: Logical Semantics

13 Logical Semantics of $\text{CLP}(\mathcal{X})$

14 Automated Deduction

15 $\text{CLP}(\lambda)$

16 Negation as Failure

Logical Semantics of CLP(\mathcal{X}) Programs

- Proper logical semantics

$$(1) P, \mathcal{T} \models \exists(G) \quad (4) P, \mathcal{T} \models c \supset G,$$

- Logical semantics in a fixed pre-interpretation

$$(2) P \models_{\mathcal{X}} \exists(G) \quad (5) P \models_{\mathcal{X}} c \supset G,$$

- Algebraic semantics

$$(3) M_P^{\mathcal{X}} \models \exists(G) \quad (6) M_P^{\mathcal{X}} \models c \supset G.$$

Soundness of CSLD Resolution

Theorem 7 ([JL87popl])

*If c is a computed answer for the goal G then $M_p^x \models c \supset G$,
 $P \models_x c \supset G$ and $P, T \models c \supset G$.*

Soundness of CSLD Resolution

Theorem 7 ([JL87popl])

If c is a computed answer for the goal G then $M_p^x \models c \supset G$, $P \models_x c \supset G$ and $P, \mathcal{T} \models c \supset G$.

If $G = (d|A_1, \dots, A_n)$, we deduce from the \wedge -compositionality lemma, that there exist computed answers c_1, \dots, c_n for the goals A_1, \dots, A_n such that $c = d \wedge \bigwedge_{i=1}^n c_i$ is satisfiable. For every $1 \leq i \leq n$ $c_i|A_i \in S_p^x \uparrow \omega$, by the full abstraction Thm 5,

Soundness of CSLD Resolution

Theorem 7 ([JL87popl])

If c is a computed answer for the goal G then $M_p^x \models c \supset G$, $P \models_x c \supset G$ and $P, \mathcal{T} \models c \supset G$.

If $G = (d|A_1, \dots, A_n)$, we deduce from the \wedge -compositionality lemma, that there exist computed answers c_1, \dots, c_n for the goals A_1, \dots, A_n such that $c = d \wedge \bigwedge_{i=1}^n c_i$ is satisfiable. For every $1 \leq i \leq n$ $c_i|A_i \in S_p^x \uparrow \omega$, by the full abstraction Thm 5, $[c_i|A_i]_x \subset M_p^x$, by Thm. 4, and Thm. 3, hence $M_p^x \models \forall(c_i \supset A_i)$,

Soundness of CSLD Resolution

Theorem 7 ([JL87popl])

*If c is a computed answer for the goal G then $M_p^{\mathcal{X}} \models c \supset G$,
 $P \models_{\mathcal{X}} c \supset G$ and $P, \mathcal{T} \models c \supset G$.*

If $G = (d | A_1, \dots, A_n)$, we deduce from the \wedge -compositionality lemma, that there exist computed answers c_1, \dots, c_n for the goals A_1, \dots, A_n such that $c = d \wedge \bigwedge_{i=1}^n c_i$ is satisfiable. For every $1 \leq i \leq n$ $c_i | A_i \in S_p^{\mathcal{X}} \uparrow \omega$, by the full abstraction Thm 5, $[c_i | A_i]_{\mathcal{X}} \subset M_p^{\mathcal{X}}$, by Thm. 4, and Thm. 3, hence $M_p^{\mathcal{X}} \models \forall (c_i \supset A_i)$, $P \models_{\mathcal{X}} \forall (c_i \supset A_i)$ as $M_p^{\mathcal{X}}$ is the least \mathcal{X} -model of P ,

Soundness of CSLD Resolution

Theorem 7 ([JL87popl])

*If c is a computed answer for the goal G then $M_p^{\mathcal{X}} \models c \supset G$,
 $P \models_{\mathcal{X}} c \supset G$ and $P, \mathcal{T} \models c \supset G$.*

If $G = (d | A_1, \dots, A_n)$, we deduce from the \wedge -compositionality lemma, that there exist computed answers c_1, \dots, c_n for the goals A_1, \dots, A_n such that $c = d \wedge \bigwedge_{i=1}^n c_i$ is satisfiable. For every $1 \leq i \leq n$ $c_i | A_i \in S_p^{\mathcal{X}} \uparrow \omega$, by the full abstraction Thm 5, $[c_i | A_i]_{\mathcal{X}} \subset M_p^{\mathcal{X}}$, by Thm. 4, and Thm. 3, hence $M_p^{\mathcal{X}} \models \forall(c_i \supset A_i)$, $P \models_{\mathcal{X}} \forall(c_i \supset A_i)$ as $M_p^{\mathcal{X}}$ is the least \mathcal{X} -model of P , $P \models_{\mathcal{X}} \forall(c \supset A_i)$ as $\mathcal{X} \models \forall(c \supset c_i)$ for all i , $1 \leq i \leq n$.

Soundness of CSLD Resolution

Theorem 7 ([JL87popl])

*If c is a computed answer for the goal G then $M_p^{\mathcal{X}} \models c \supset G$,
 $P \models_{\mathcal{X}} c \supset G$ and $P, \mathcal{T} \models c \supset G$.*

If $G = (d | A_1, \dots, A_n)$, we deduce from the \wedge -compositionality lemma, that there exist computed answers c_1, \dots, c_n for the goals A_1, \dots, A_n such that $c = d \wedge \bigwedge_{i=1}^n c_i$ is satisfiable. For every $1 \leq i \leq n$ $c_i | A_i \in S_p^{\mathcal{X}} \uparrow \omega$, by the full abstraction Thm 5, $[c_i | A_i]_{\mathcal{X}} \subset M_p^{\mathcal{X}}$, by Thm. 4, and Thm. 3, hence $M_p^{\mathcal{X}} \models \forall (c_i \supset A_i)$, $P \models_{\mathcal{X}} \forall (c_i \supset A_i)$ as $M_p^{\mathcal{X}}$ is the least \mathcal{X} -model of P , $P \models_{\mathcal{X}} \forall (c \supset A_i)$ as $\mathcal{X} \models \forall (c \supset c_i)$ for all i , $1 \leq i \leq n$. Therefore we have $P \models_{\mathcal{X}} \forall (c \supset (d \wedge A_1 \wedge \dots \wedge A_n))$, and as the same reasoning applies to any model \mathcal{X} of \mathcal{T} , $P, \mathcal{T} \models \forall (c \supset (d \wedge A_1 \wedge \dots \wedge A_n))$

Completeness of CSLD resolution

Theorem 8 ([Maher87iclp])

If $M_p^x \models c \supset G$ then there exists a set $\{c_i\}_{i \geq 0}$ of computed answers for G , such that: $x \models \forall(c \supset \bigvee_{i \geq 0} \exists Y_i c_i)$.

Proof.

Completeness of CSLD resolution

Theorem 8 ([Maher87iclp])

If $M_p^x \models c \supset G$ then there exists a set $\{c_i\}_{i \geq 0}$ of computed answers for G , such that: $\mathcal{X} \models \forall(c \supset \bigvee_{i \geq 0} \exists Y_i c_i)$.

Proof.

For every solution ρ of c , for every atom A_j in G ,

Completeness of CSLD resolution

Theorem 8 ([Maher87iclp])

If $M_p^x \models c \supset G$ then there exists a set $\{c_i\}_{i \geq 0}$ of computed answers for G , such that: $\mathcal{X} \models \forall(c \supset \bigvee_{i \geq 0} \exists Y_i c_i)$.

Proof.

For every solution ρ of c , for every atom A_j in G , $M_p^x \models A_j \rho$ iff $A_j \rho \in T_p^x \uparrow \omega$, by Thm. 3, iff $A_j \rho \in [S_p^x \uparrow \omega]_{\mathcal{X}}$ by Thm. 4,

Completeness of CSLD resolution

Theorem 8 ([Maher87iclp])

If $M_p^x \models c \supset G$ then there exists a set $\{c_i\}_{i \geq 0}$ of computed answers for G , such that: $\mathcal{X} \models \forall(c \supset \bigvee_{i \geq 0} \exists Y_i c_i)$.

Proof.

For every solution ρ of c , for every atom A_j in G , $M_p^x \models A_j \rho$ iff $A_j \rho \in T_p^x \uparrow \omega$, by Thm. 3, iff $A_j \rho \in [S_p^x \uparrow \omega]_{\mathcal{X}}$ by Thm. 4, iff $c_{j,\rho} | A_j \in S_p^x \uparrow \omega$, for some constraint $c_{j,\rho}$ s.t. ρ is solution of $\exists Y_{j,\rho} c_{j,\rho}$, where $Y_{j,\rho} = V(c_{j,\rho}) \setminus V(A_j)$,

Completeness of CSLD resolution

Theorem 8 ([Maher87iclp])

If $M_p^x \models c \supset G$ then there exists a set $\{c_i\}_{i \geq 0}$ of computed answers for G , such that: $\mathcal{X} \models \forall (c \supset \bigvee_{i \geq 0} \exists Y_i c_i)$.

Proof.

For every solution ρ of c , for every atom A_j in G ,
 $M_p^x \models A_j \rho$ iff $A_j \rho \in T_p^x \uparrow \omega$, by Thm. 3, iff $A_j \rho \in [S_p^x \uparrow \omega]_{\mathcal{X}}$ by Thm. 4,
iff $c_{j,\rho} | A_j \in S_p^x \uparrow \omega$, for some constraint $c_{j,\rho}$ s.t. ρ is solution of $\exists Y_{j,\rho} c_{j,\rho}$,
where $Y_{j,\rho} = V(c_{j,\rho}) \setminus V(A_j)$,
iff $c_{j,\rho}$ is a computed answer for A_j (by 5) and $\mathcal{X} \models \exists Y_{j,\rho} c_{j,\rho}$.

Completeness of CSLD resolution

Theorem 8 ([Maher87iclp])

If $M_p^x \models c \supset G$ then there exists a set $\{c_i\}_{i \geq 0}$ of computed answers for G , such that: $\mathcal{X} \models \forall(c \supset \bigvee_{i \geq 0} \exists Y_i c_i)$.

Proof.

For every solution ρ of c , for every atom A_j in G ,
 $M_p^x \models A_j \rho$ iff $A_j \rho \in T_p^x \uparrow \omega$, by Thm. 3, iff $A_j \rho \in [S_p^x \uparrow \omega]_x$ by Thm. 4,
iff $c_{j,\rho} | A_j \in S_p^x \uparrow \omega$, for some constraint $c_{j,\rho}$ s.t. ρ is solution of $\exists Y_{j,\rho} c_{j,\rho}$,
where $Y_{j,\rho} = V(c_{j,\rho}) \setminus V(A_j)$,
iff $c_{j,\rho}$ is a computed answer for A_j (by 5) and $\mathcal{X} \models \exists Y_{j,\rho} c_{j,\rho}$.
Let c_ρ be the conjunction of $c_{j,\rho}$ for all j . c_ρ is a computed answer for G .

By taking the collection of c_ρ for all ρ we get $\mathcal{X} \models \forall(c \supset \bigvee_{c_\rho} \exists Y_\rho c_\rho)$ \square

Completeness w.r.t. the theory of the structure

Theorem 9 ([Maher87iclp])

If $P, \mathcal{T} \models c \supset G$ then there exists a finite set $\{c_1, \dots, c_n\}$ of computed answers to G , such that:

$\mathcal{T} \models \forall (c \supset \exists Y_1 c_1 \vee \dots \vee \exists Y_n c_n)$.

Proof.

Completeness w.r.t. the theory of the structure

Theorem 9 ([Maher87iclp])

If $P, \mathcal{T} \models c \supset G$ then there exists a finite set $\{c_1, \dots, c_n\}$ of computed answers to G , such that:

$$\mathcal{T} \models \forall (c \supset \exists Y_1 c_1 \vee \dots \vee \exists Y_n c_n).$$

Proof.

If $P, \mathcal{T} \models c \supset G$ then for every model \mathcal{X} of \mathcal{T} , for every \mathcal{X} -solution ρ of c , there exists a computed constraint $c_{\mathcal{X}, \rho}$ for G s.t. $\mathcal{X} \models c_{\mathcal{X}, \rho} \rho$. Let $\{c_i\}_{i \geq 1}$ be the set of these computed answers. Then for every model \mathcal{X} and for every \mathcal{X} -valuation ρ , $\mathcal{X} \models c \supset \bigvee_{i \geq 1} \exists Y_i c_i$.

Completeness w.r.t. the theory of the structure

Theorem 9 ([Maher87iclp])

If $P, \mathcal{T} \models c \supset G$ then there exists a finite set $\{c_1, \dots, c_n\}$ of computed answers to G , such that:

$$\mathcal{T} \models \forall (c \supset \exists Y_1 c_1 \vee \dots \vee \exists Y_n c_n).$$

Proof.

If $P, \mathcal{T} \models c \supset G$ then for every model \mathcal{X} of \mathcal{T} , for every \mathcal{X} -solution ρ of c , there exists a computed constraint $c_{\mathcal{X}, \rho}$ for G s.t. $\mathcal{X} \models c_{\mathcal{X}, \rho}$. Let $\{c_i\}_{i \geq 1}$ be the set of these computed answers. Then for every model \mathcal{X} and for every \mathcal{X} -valuation ρ , $\mathcal{X} \models c \supset \bigvee_{i \geq 1} \exists Y_i c_i$, therefore $\mathcal{T} \models c \supset \bigvee_{i \geq 1} \exists Y_i c_i$.

Completeness w.r.t. the theory of the structure

Theorem 9 ([Maher87iclp])

If $P, \mathcal{T} \models c \supset G$ then there exists a finite set $\{c_1, \dots, c_n\}$ of computed answers to G , such that:

$$\mathcal{T} \models \forall (c \supset \exists Y_1 c_1 \vee \dots \vee \exists Y_n c_n).$$

Proof.

If $P, \mathcal{T} \models c \supset G$ then for every model \mathcal{X} of \mathcal{T} , for every \mathcal{X} -solution ρ of c , there exists a computed constraint $c_{\mathcal{X}, \rho}$ for G s.t. $\mathcal{X} \models c_{\mathcal{X}, \rho}$.

Let $\{c_i\}_{i \geq 1}$ be the set of these computed answers. Then for every model \mathcal{X} and for every \mathcal{X} -valuation ρ , $\mathcal{X} \models c \supset \bigvee_{i \geq 1} \exists Y_i c_i$,

therefore $\mathcal{T} \models c \supset \bigvee_{i \geq 1} \exists Y_i c_i$,

As $\mathcal{T} \cup \{\exists (c \wedge \neg \exists Y_i c_i)\}_i$ is unsatisfiable, by applying the compactness theorem of first-order logic there exists a finite part $\{c_i\}_{1 \leq i \leq n}$,

s.t. $\mathcal{T} \models c \supset \bigvee_{i=1}^n \exists Y_i c_i$. □

First-order theorem proving in $\text{CLP}(\mathcal{H})$

Prolog can be used to find proofs by refutation of Horn clauses (with a **complete search meta-interpreter**).

$P, \forall(\neg A)$ is unsatisfiable iff $P \models \exists(A)$ iff $A \rightarrow^* \square$.

Groups can be axiomatized with Horn clauses with a ternary predicate $p(x, y, z)$ meaning $x * y = z$.

First-order theorem proving in $CLP(\mathcal{H})$

Prolog can be used to find proofs by refutation of Horn clauses (with a **complete search meta-interpreter**).

$P, \forall(\neg A)$ is unsatisfiable iff $P \models \exists(A)$ iff $A \rightarrow^* \square$.

Groups can be axiomatized with Horn clauses with a ternary predicate $p(x, y, z)$ meaning $x * y = z$.

```
clause (p (e, X, X) ) .
```

First-order theorem proving in CLP(\mathcal{H})

Prolog can be used to find proofs by refutation of Horn clauses (with a **complete search meta-interpreter**).

$P, \forall(\neg A)$ is unsatisfiable iff $P \models \exists(A)$ iff $A \rightarrow^* \square$.

Groups can be axiomatized with Horn clauses with a ternary predicate $p(x, y, z)$ meaning $x * y = z$.

```
clause (p (e, X, X)) .
```

```
clause (p (i (X), X, e)) .
```

First-order theorem proving in CLP(\mathcal{H})

Prolog can be used to find proofs by refutation of Horn clauses (with a **complete search meta-interpreter**).

$P, \forall(\neg A)$ is unsatisfiable iff $P \models \exists(A)$ iff $A \rightarrow^* \square$.

Groups can be axiomatized with Horn clauses with a ternary predicate $p(x, y, z)$ meaning $x * y = z$.

```
clause ( p ( e , X , X ) ) .
```

```
clause ( p ( i ( X ) , X , e ) ) .
```

```
clause ( ( p ( U , Z , W ) :- p ( X , Y , U ) , p ( Y , Z , V ) , p ( X , V , W ) ) ) .
```

```
clause ( ( p ( X , V , W ) :- p ( X , Y , U ) , p ( Y , Z , V ) , p ( U , Z , W ) ) ) .
```

Theorem proving in groups

To show $i(i(x)) = x$ by refutation,

Theorem proving in groups

To show $i(i(x)) = x$ by refutation,
we show that the formula $\neg\forall x p(i(i(X)), e, X)$ is unsatisfiable
By Skolemization we get the goal clause $\neg p(i(i(a)), e, a)$

Theorem proving in groups

To show $i(i(x)) = x$ by refutation,
we show that the formula $\neg\forall x p(i(i(X)), e, X)$ is unsatisfiable
By Skolemization we get the goal clause $\neg p(i(i(a)), e, a)$

```
| ?- solve(p(i(i(a)), e, a)).  
depth 2  
yes
```

Theorem proving in groups

To show $i(i(x)) = x$ by refutation,
we show that the formula $\neg\forall x p(i(i(X)), e, X)$ is unsatisfiable
By Skolemization we get the goal clause $\neg p(i(i(a)), e, a)$

```
| ?- solve(p(i(i(a)), e, a)).  
depth 2  
yes
```

```
| ?- solve(p(a, e, a)).  
depth 4  
yes
```

Theorem proving in groups

To show $i(i(x)) = x$ by refutation,
we show that the formula $\neg\forall x p(i(i(X)), e, X)$ is unsatisfiable
By Skolemization we get the goal clause $\neg p(i(i(a)), e, a)$

```
| ?- solve(p(i(i(a)), e, a)).  
depth 2  
yes
```

```
| ?- solve(p(a, e, a)).  
depth 4  
yes
```

```
| ?- solve(p(a, i(a), e)).  
depth 3  
yes
```

Theorem proving in groups (cont.)

To show that any non empty subset of a group, stable by division, is a subgroup we add two clauses

Theorem proving in groups (cont.)

To show that any non empty subset of a group, stable by division, is a subgroup we add two clauses

```
clause (s (a)) .
```

```
clause ((s (Z) :- s (X), s (Y), p (X, i (Y), Z))) .
```

and prove that s contains e and $i(a)$.

Theorem proving in groups (cont.)

To show that any non empty subset of a group, stable by division, is a subgroup we add two clauses

```
clause(s(a)).
```

```
clause((s(Z) :- s(X), s(Y), p(X,i(Y),Z))).
```

and prove that s contains e and $i(a)$.

```
| ?- solve(s(e)).
```

```
depth 4
```

```
yes
```

```
| ?- solve(s(i(a))).
```

```
depth 5
```

```
yes
```

Higher-order theorem proving in CLP(λ)

Church's simply typed λ -calculus

$t ::= v \mid t_1 \rightarrow t_2$

$e : t ::= x : t \mid (\lambda x : t_1. e : t_2) : t_1 \rightarrow t_2 \mid (e_1 : t_1 \rightarrow t_2(e_2 : t_1)) : t_2$

Theory of functionality

$\lambda x. e_1 =_{\alpha} \lambda y. e_1[y/x]$ if $y \notin V(e_1)$,

$(\lambda x. e_1)e_2 \rightarrow_{\beta} e_1[e_2/x]$

$=_{\alpha} \cdot \rightarrow_{\beta}$ is terminating and confluent

$$e_1 =_{\alpha, \beta} e_2 \text{ iff } \downarrow_{\beta} e_1 =_{\alpha} \downarrow_{\beta} e_2.$$

Equality is decidable, but not unification...

Theorem proving in CLP(λ)

Theorem 10 (Cantor's Theorem)

$\mathbb{N}^{\mathbb{N}}$ *is not countable.*

Proof.

By two steps of CSLD resolution!

Theorem proving in CLP(λ)

Theorem 10 (Cantor's Theorem)

$\mathbb{N}^{\mathbb{N}}$ is not countable.

Proof.

By two steps of CSLD resolution!

Let us suppose $\exists h : \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N}) \forall f : \mathbb{N} \rightarrow \mathbb{N} \exists n : \mathbb{N} h(n) = f$

After Skolemisation we get $\forall F h(n(F)) = F$, i.e., $\forall F \neg h(n(F)) \neq F$.

Let us consider the following program $G \neq H \leftarrow G(N) \neq H(N).$
 $N \neq s(N).$

We have $h(n(F)) \neq F \xrightarrow{\sigma_1} (h(n(F)))(I) \neq F(I) \xrightarrow{\sigma_2} \square$

where the unifier $\sigma_2 = \{G = h(I) I, I = n(F), F = \lambda i.s(h(i) i), H = F\}$ is Cantor's diagonal argument! \square

Negation as Failure

A **derivation CSLD** is **fair** if every atom which appears in a goal of the derivation is selected after a finite number of resolution steps.

A **fair CSLD tree** for a goal G is a CSLD derivation tree for G in which all derivations are fair.

A goal G is **finitely failed** if G has a fair CSLD derivation tree to G , which is finite and which contains no success.

```
p :- p.  
  
| ?- member(a, [b, c, d]).  
no  
  
| ?- p, member(a, [b, c, d]).  
...  

```

Logical semantics of finite failure?

Horn clauses entail no negative information: the Herbrand's base $\mathcal{B}_\mathcal{X}$ is a model.

On the other hand, the complement of the least \mathcal{X} -model $M_P^\mathcal{X}$ is not recursively enumerable.

Indeed let us suppose the opposite. We could define in Prolog the predicates:

- `success(P, B)` which succeeds iff $M_P \models \exists B$, i.e., if the goal B has a successful CSLD derivation with the program P
- `fail(P, B)` which succeeds iff $M_P \models \neg \exists B$

Undecidability of M_P^x

```
loop:- loop.  
contr(P):- success(P,P), loop.  
contr(P):- fail(P,P).
```

If `contr(contr)` has a success,
then `success(contr,contr)` succeeds,
and `fail(contr,contr)` doesn't succeed,
hence `contr(contr)` doesn't succeed: contradiction.

If `contr(contr)` doesn't succeed,
then `fail(contr,contr)` succeeds,
hence `contr(contr)` succeeds: contradiction.

Therefore **programs success and fail cannot both exist.**

Clark's completion

The **Clark's completion** of P is the set P^* of formulas of the form

$$\forall X p(X) \leftrightarrow (\exists Y_1 c_1 \wedge A_1^1 \wedge \dots \wedge A_{n_1}^1) \vee \dots \vee (\exists Y_k c_k \wedge A_1^k \wedge \dots \wedge A_{n_k}^k)$$

where the $p(X) \leftarrow c_i | A_1^i, \dots, A_{n_i}^i$ are the rules in P and Y_i 's the local variables,

$\forall X \neg p(X)$ if p is not defined in P .

Example 11

CLP(\mathcal{H}) program $p(s(X)) :- p(X)$.

Clark's completion $P^* =$

Clark's completion

The **Clark's completion** of P is the set P^* of formulas of the form

$$\forall X p(X) \leftrightarrow (\exists Y_1 c_1 \wedge A_1^1 \wedge \dots \wedge A_{n_1}^1) \vee \dots \vee (\exists Y_k c_k \wedge A_1^k \wedge \dots \wedge A_{n_k}^k)$$

where the $p(X) \leftarrow c_i | A_1^i, \dots, A_{n_i}^i$ are the rules in P and Y_i 's the local variables,

$\forall X \neg p(X)$ if p is not defined in P .

Example 11

CLP(\mathcal{H}) program $p(s(X)) :- p(X)$.

Clark's completion $P^* = \{\forall x p(x) \leftrightarrow \exists y x = s(y) \wedge p(y)\}$.

The goal $p(0)$ finitely fails, we have $P^*, CET \models \neg p(0)$.

The goal $p(X)$ doesn't finitely fail,
we have

Clark's completion

The **Clark's completion** of P is the set P^* of formulas of the form

$$\forall X p(X) \leftrightarrow (\exists Y_1 c_1 \wedge A_1^1 \wedge \dots \wedge A_{n_1}^1) \vee \dots \vee (\exists Y_k c_k \wedge A_1^k \wedge \dots \wedge A_{n_k}^k)$$

where the $p(X) \leftarrow c_i | A_1^i, \dots, A_{n_i}^i$ are the rules in P and Y_i 's the local variables,

$\forall X \neg p(X)$ if p is not defined in P .

Example 11

CLP(\mathcal{H}) program $p(s(X)) :- p(X)$.

Clark's completion $P^* = \{\forall x p(x) \leftrightarrow \exists y x = s(y) \wedge p(y)\}$.

The goal $p(0)$ finitely fails, we have $P^*, CET \models \neg p(0)$.

The goal $p(X)$ doesn't finitely fail,

we have $P^*, CET \not\models \neg \exists X p(X)$ although $P^* \models_{\mathcal{H}} \neg \exists X p(X)$

Supported \mathcal{X} -models

Proposition 12

i) I is a supported \mathcal{X} -model of P iff ii) I is a \mathcal{X} -model of P^ iff
iii) I is a fixed point of $T_P^{\mathcal{X}}$.*

Proof.

Supported \mathcal{X} -models

Proposition 12

i) I is a supported \mathcal{X} -model of P iff ii) I is a \mathcal{X} -model of P^ iff
iii) I is a fixed point of $T_P^{\mathcal{X}}$.*

Proof.

I is a \mathcal{X} -model of P

Supported \mathcal{X} -models

Proposition 12

- i) I is a supported \mathcal{X} -model of P iff ii) I is a \mathcal{X} -model of P^* iff
iii) I is a fixed point of $T_P^{\mathcal{X}}$.*

Proof.

I is a \mathcal{X} -model of P

iff I is a \mathcal{X} -model of $\forall X p(X) \leftarrow \phi_1 \vee \dots \vee \phi_k$ for every formula
 $\forall X p(X) \leftrightarrow \phi_1 \vee \dots \vee \phi_k$ in P^* ,

Supported \mathcal{X} -models

Proposition 12

i) I is a supported \mathcal{X} -model of P iff ii) I is a \mathcal{X} -model of P^ iff
iii) I is a fixed point of $T_P^{\mathcal{X}}$.*

Proof.

I is a \mathcal{X} -model of P

iff I is a \mathcal{X} -model of $\forall X p(X) \leftarrow \phi_1 \vee \dots \vee \phi_k$ for every formula
 $\forall X p(X) \leftrightarrow \phi_1 \vee \dots \vee \phi_k$ in P^* ,

iff I is a post-fixed point of $T_P^{\mathcal{X}}$, i.e., $T_P^{\mathcal{X}}(I) \subset I$ (by Prop. 2).

Supported \mathcal{X} -models

Proposition 12

- i) I is a supported \mathcal{X} -model of P iff
ii) I is a \mathcal{X} -model of P^* iff
iii) I is a fixed point of $T_P^{\mathcal{X}}$.

Proof.

I is a \mathcal{X} -model of P

iff I is a \mathcal{X} -model of $\forall X p(X) \leftarrow \phi_1 \vee \dots \vee \phi_k$ for every formula
 $\forall X p(X) \leftrightarrow \phi_1 \vee \dots \vee \phi_k$ in P^* ,

iff I is a post-fixed point of $T_P^{\mathcal{X}}$, i.e., $T_P^{\mathcal{X}}(I) \subset I$ (by Prop. 2).

I is a **supported** \mathcal{X} -interpretation of P ,

iff I is a \mathcal{X} -model of $\forall X p(X) \rightarrow \phi_1 \vee \dots \vee \phi_k$ for every formula
 $\forall X p(X) \leftrightarrow \phi_1 \vee \dots \vee \phi_k$ in P^* ,

Supported \mathcal{X} -models

Proposition 12

i) I is a supported \mathcal{X} -model of P iff ii) I is a \mathcal{X} -model of P^ iff
iii) I is a fixed point of $T_P^{\mathcal{X}}$.*

Proof.

I is a \mathcal{X} -model of P

iff I is a \mathcal{X} -model of $\forall X p(X) \leftarrow \phi_1 \vee \dots \vee \phi_k$ for every formula
 $\forall X p(X) \leftrightarrow \phi_1 \vee \dots \vee \phi_k$ in P^* ,

iff I is a post-fixed point of $T_P^{\mathcal{X}}$, i.e., $T_P^{\mathcal{X}}(I) \subset I$ (by Prop. 2).

I is a **supported** \mathcal{X} -interpretation of P ,

iff I is a \mathcal{X} -model of $\forall X p(X) \rightarrow \phi_1 \vee \dots \vee \phi_k$ for every formula
 $\forall X p(X) \leftrightarrow \phi_1 \vee \dots \vee \phi_k$ in P^* ,

iff I is a pre-fixed point of $T_P^{\mathcal{X}}$, i.e., $I \subset T_P^{\mathcal{X}}(I)$.

Supported \mathcal{X} -models

Proposition 12

i) I is a supported \mathcal{X} -model of P iff ii) I is a \mathcal{X} -model of P^ iff
iii) I is a fixed point of $T_P^{\mathcal{X}}$.*

Proof.

I is a \mathcal{X} -model of P

iff I is a \mathcal{X} -model of $\forall X p(X) \leftarrow \phi_1 \vee \dots \vee \phi_k$ for every formula
 $\forall X p(X) \leftrightarrow \phi_1 \vee \dots \vee \phi_k$ in P^* ,

iff I is a post-fixed point of $T_P^{\mathcal{X}}$, i.e., $T_P^{\mathcal{X}}(I) \subset I$ (by Prop. 2).

I is a **supported** \mathcal{X} -interpretation of P ,

iff I is a \mathcal{X} -model of $\forall X p(X) \rightarrow \phi_1 \vee \dots \vee \phi_k$ for every formula
 $\forall X p(X) \leftrightarrow \phi_1 \vee \dots \vee \phi_k$ in P^* ,

iff I is a pre-fixed point of $T_P^{\mathcal{X}}$, i.e., $I \subset T_P^{\mathcal{X}}(I)$.

Thus *i) I is a supported \mathcal{X} -model of P iff ii) I is a \mathcal{X} -model of P^* iff
iii) I is a fixed point of $T_P^{\mathcal{X}}$.* □

Models of the Clark's completion

Theorem 13

- i) P^* has the same least \mathcal{X} -model than P , $M_P^{\mathcal{X}} = M_{P^*}^{\mathcal{X}}$*
- ii) $P \models_{\mathcal{X}} c \supset A$ iff $P^* \models_{\mathcal{X}} c \supset A$, for all c and A ,*
- iii) $P, \mathcal{T} \models c \supset A$ iff $P^*, \mathcal{T} \models c \supset A$.*

Proof.

Models of the Clark's completion

Theorem 13

- i) P^* has the same least \mathcal{X} -model than P , $M_P^{\mathcal{X}} = M_{P^*}^{\mathcal{X}}$*
- ii) $P \models_{\mathcal{X}} c \supset A$ iff $P^* \models_{\mathcal{X}} c \supset A$, for all c and A ,*
- iii) $P, \mathcal{T} \models c \supset A$ iff $P^*, \mathcal{T} \models c \supset A$.*

Proof.

i) is an immediate corollary of full abstraction and least \mathcal{X} -model theorems (1 and 3).

Models of the Clark's completion

Theorem 13

- i) P^* has the same least \mathcal{X} -model than P , $M_P^{\mathcal{X}} = M_{P^*}^{\mathcal{X}}$*
- ii) $P \models_{\mathcal{X}} c \supset A$ iff $P^* \models_{\mathcal{X}} c \supset A$, for all c and A ,*
- iii) $P, \mathcal{T} \models c \supset A$ iff $P^*, \mathcal{T} \models c \supset A$.*

Proof.

i) is an immediate corollary of full abstraction and least \mathcal{X} -model theorems (1 and 3).

For iii) we clearly have $(P, \mathcal{T} \models c \supset A) \Rightarrow (P^*, \mathcal{T} \models c \supset A)$. We show the contrapositive of the opposite, $(P, \mathcal{T} \not\models c \supset A) \Rightarrow (P^*, \mathcal{T} \not\models c \supset A)$.

Models of the Clark's completion

Theorem 13

- i) P^* has the same least \mathcal{X} -model than P , $M_P^{\mathcal{X}} = M_{P^*}^{\mathcal{X}}$*
- ii) $P \models_{\mathcal{X}} c \supset A$ iff $P^* \models_{\mathcal{X}} c \supset A$, for all c and A ,*
- iii) $P, \mathcal{T} \models c \supset A$ iff $P^*, \mathcal{T} \models c \supset A$.*

Proof.

i) is an immediate corollary of full abstraction and least \mathcal{X} -model theorems (1 and 3).

For iii) we clearly have $(P, \mathcal{T} \models c \supset A) \Rightarrow (P^*, \mathcal{T} \models c \supset A)$. We show the contrapositive of the opposite, $(P, \mathcal{T} \not\models c \supset A) \Rightarrow (P^*, \mathcal{T} \not\models c \supset A)$.

Let I be a model of P and \mathcal{T} , based on a structure \mathcal{X} , let ρ be a valuation such that $I \models \neg A\rho$ and $\mathcal{X} \models c\rho$.

Models of the Clark's completion

Theorem 13

- i) P^* has the same least \mathcal{X} -model than P , $M_P^{\mathcal{X}} = M_{P^*}^{\mathcal{X}}$
- ii) $P \models_{\mathcal{X}} c \supset A$ iff $P^* \models_{\mathcal{X}} c \supset A$, for all c and A ,
- iii) $P, \mathcal{T} \models c \supset A$ iff $P^*, \mathcal{T} \models c \supset A$.

Proof.

i) is an immediate corollary of full abstraction and least \mathcal{X} -model theorems (1 and 3).

For iii) we clearly have $(P, \mathcal{T} \models c \supset A) \Rightarrow (P^*, \mathcal{T} \models c \supset A)$. We show the contrapositive of the opposite, $(P, \mathcal{T} \not\models c \supset A) \Rightarrow (P^*, \mathcal{T} \not\models c \supset A)$.

Let I be a model of P and \mathcal{T} , based on a structure \mathcal{X} , let ρ be a valuation such that $I \models \neg A_\rho$ and $\mathcal{X} \models c_\rho$.

We have $M_P^{\mathcal{X}} \models \neg A_\rho$, thus $M_{P^*}^{\mathcal{X}} \models \neg A_\rho$, and as $\mathcal{T} \models c_\rho$, we conclude that $P^*, \mathcal{T} \not\models c \supset A$.

Models of the Clark's completion

Theorem 13

- i) P^* has the same least \mathcal{X} -model than P , $M_P^{\mathcal{X}} = M_{P^*}^{\mathcal{X}}$
- ii) $P \models_{\mathcal{X}} c \supset A$ iff $P^* \models_{\mathcal{X}} c \supset A$, for all c and A ,
- iii) $P, \mathcal{T} \models c \supset A$ iff $P^*, \mathcal{T} \models c \supset A$.

Proof.

i) is an immediate corollary of full abstraction and least \mathcal{X} -model theorems (1 and 3).

For iii) we clearly have $(P, \mathcal{T} \models c \supset A) \Rightarrow (P^*, \mathcal{T} \models c \supset A)$. We show the contrapositive of the opposite, $(P, \mathcal{T} \not\models c \supset A) \Rightarrow (P^*, \mathcal{T} \not\models c \supset A)$.

Let I be a model of P and \mathcal{T} , based on a structure \mathcal{X} , let ρ be a valuation such that $I \models \neg A\rho$ and $\mathcal{X} \models c\rho$.

We have $M_P^{\mathcal{X}} \models \neg A\rho$, thus $M_{P^*}^{\mathcal{X}} \models \neg A\rho$, and as $\mathcal{T} \models c\rho$, we conclude that $P^*, \mathcal{T} \not\models c \supset A$.

The proof of ii) is identical, the structure \mathcal{X} being fixed. □

Soundness of Negation as Finite Failure

Theorem 14

If G is finitely failed then $P^, \mathcal{T} \models \neg G$.*

Proof.

Soundness of Negation as Finite Failure

Theorem 14

If G is finitely failed then $P^, \mathcal{T} \models \neg G$.*

Proof.

By induction on the height h of the tree in finite failure for $G = c|A, \alpha$ where A is the selected atom at the root of the tree.

Soundness of Negation as Finite Failure

Theorem 14

If G is finitely failed then $P^, \mathcal{T} \models \neg G$.*

Proof.

By induction on the height h of the tree in finite failure for $G = c|A, \alpha$ where A is the selected atom at the root of the tree.

In the base case $h = 1$, the constrained atom $c|A$ has no CSLD transition, we can deduce that $P^*, \mathcal{T} \models \neg(c \wedge A)$ hence that $P^*, \mathcal{T} \models \neg G$.

Soundness of Negation as Finite Failure

Theorem 14

If G is finitely failed then $P^*, \mathcal{T} \models \neg G$.

Proof.

By induction on the height h of the tree in finite failure for $G = c|A, \alpha$ where A is the selected atom at the root of the tree.

In the base case $h = 1$, the constrained atom $c|A$ has no CSLD transition, we can deduce that $P^*, \mathcal{T} \models \neg(c \wedge A)$ hence that $P^*, \mathcal{T} \models \neg G$.

For the induction step, let us suppose $h > 1$. Let G_1, \dots, G_n be the sons of the root and Y_1, \dots, Y_n be the respective sets of introduced variables. We have $P^*, \mathcal{T} \models G \leftrightarrow \exists Y_1 G_1 \vee \dots \vee \exists Y_n G_n$. By induction hypothesis, $P^*, \mathcal{T} \models \neg G_i$ for every $1 \leq i \leq n$, therefore $P^*, \mathcal{T} \models \neg G$. \square

Completeness of Negation as Failure

Theorem 15 ([JL87popl])

If $P^, \mathcal{T} \models \neg G$ then G is finitely failed.*

Completeness of Negation as Failure

Theorem 15 ([JL87popl])

If $P^, \mathcal{T} \models \neg G$ then G is finitely failed.*

We show that if G is not finitely failed then $P^*, \mathcal{T}, \exists(G)$ is satisfiable.

Completeness of Negation as Failure

Theorem 15 ([JL87popl])

If $P^, \mathcal{T} \models \neg G$ then G is finitely failed.*

We show that if G is not finitely failed then $P^*, \mathcal{T}, \exists(G)$ is satisfiable. If G has a success then by the soundness of CSLD resolution 7 , $P^*, \mathcal{T} \models \exists G$.

Completeness of Negation as Failure

Theorem 15 ([JL87popl])

If $P^, \mathcal{T} \models \neg G$ then G is finitely failed.*

We show that if G is not finitely failed then $P^*, \mathcal{T}, \exists(G)$ is satisfiable. If G has a success then by the soundness of CSLD resolution 7 , $P^*, \mathcal{T} \models \exists G$. Else G has a fair infinite derivation

$G = c_0|G_0 \longrightarrow c_1|G_1 \longrightarrow \dots$

For every $i \geq 0$, c_i is \mathcal{T} -satisfiable,

Completeness of Negation as Failure

Theorem 15 ([JL87popl])

If $P^, \mathcal{T} \models \neg G$ then G is finitely failed.*

We show that if G is not finitely failed then $P^*, \mathcal{T}, \exists(G)$ is satisfiable. If G has a success then by the soundness of CSLD resolution 7 , $P^*, \mathcal{T} \models \exists G$. Else G has a fair infinite derivation

$G = c_0 | G_0 \longrightarrow c_1 | G_1 \longrightarrow \dots$

For every $i \geq 0$, c_i is \mathcal{T} -satisfiable, thus by the **compactness theorem**, $c_\omega = \bigwedge_{i \geq 0} c_i$ is \mathcal{T} -satisfiable.

Completeness of Negation as Failure

Theorem 15 ([JL87popl])

If $P^, \mathcal{T} \models \neg G$ then G is finitely failed.*

We show that if G is not finitely failed then $P^*, \mathcal{T}, \exists(G)$ is satisfiable. If G has a success then by the soundness of CSLD resolution 7, $P^*, \mathcal{T} \models \exists G$. Else G has a fair infinite derivation

$$G = c_0 | G_0 \longrightarrow c_1 | G_1 \longrightarrow \dots$$

For every $i \geq 0$, c_i is \mathcal{T} -satisfiable, thus by the **compactness theorem**, $c_\omega = \bigwedge_{i \geq 0} c_i$ is \mathcal{T} -satisfiable. Let \mathcal{X} be a model of \mathcal{T} s.t. $\mathcal{X} \models \exists(c_\omega)$. Let $I_0 = \{A\rho \mid A \in G_i \text{ for some } i \geq 0 \text{ and } \mathcal{X} \models c_\omega\rho\}$. As the derivation is fair, every atom A in I_0 is selected, thus $c_\omega | A \longrightarrow c_\omega | A_1, \dots, A_n$ with $[c_\omega | A] \cup \dots \cup [c_\omega | A_n] \subset I_0$. We deduce that $I_0 \subset T_p^\mathcal{X}(I_0)$. By Knaster-Tarski's theorem, the iterated application up to ordinal ω of the operator $T_p^\mathcal{X}$ from I_0 leads to a fixed point I s.t. $I_0 \subset I$, thus $[c_\omega | G_0] \subset I$. Hence $P^*, \exists(G)$ is \mathcal{X} -satisfiable, and $P^*, \mathcal{T}, \exists(G)$ is satisfiable.

Part V

Constraint Solving

Part V: Constraint Solving

17 Solving by Rewriting

18 Solving by Domain Reduction

Solving Equality Constraints in \mathcal{H} by Rewriting

Systems of equations Γ :

$$M_1 = N_1 \wedge \cdots \wedge M_n = N_n$$

A system is in **solved form** if it is of the form

$$x_1 = M_1 \wedge \cdots \wedge x_n = M_n$$

with $n \geq 0$ and $\{x_1, \dots, x_n\} \cap (V(M_1) \cup \cdots \cup V(M_n)) = \emptyset$

Proposition 16

If Γ is in solved form then $\mathcal{H} \models \exists(\Gamma)$

Idea of the unification algorithm: try to simplify Γ into either a solved form or \perp

Herbrand-Robinson's Unification Algorithm

Dec $f(M_1, \dots, M_n) = f(N_1, \dots, N_n) \wedge \Gamma$
 $\rightarrow M_1 = N_1 \wedge \dots \wedge M_n = N_n \wedge \Gamma,$

D \perp $f(M_1, \dots, M_n) = g(N_1, \dots, N_m) \wedge \Gamma \rightarrow \perp$ if $f \neq g,$

Triv $x = x \wedge \Gamma \rightarrow \Gamma,$

Var $x = M \wedge \Gamma \rightarrow x = M \wedge \Gamma\sigma$
if $x \notin V(M), x \in V(\Gamma), \sigma = \{x \leftarrow M\},$

V \perp $x = M \wedge \Gamma \rightarrow \perp$
if $x \in V(M)$ and $x \neq M$

Lemma 17 (Validity)

If $\Gamma \rightarrow \Gamma'$ then $CET_{\mathcal{H}} \models \Gamma \supset \Gamma'$

Proof.

Simple application of the axioms for each rule □

Herbrand-Robinson's Unification Algorithm

Lemma 18 (Termination)

The rules terminate

Proof.

Herbrand-Robinson's Unification Algorithm

Lemma 18 (Termination)

The rules terminate

Proof.

Take as complexity measure of Γ , the number of variables in non-solved form, and the size of Γ , ordered lexicographically □

Proposition 19 (Decidability of unification)

$CET \models \exists(\Gamma)$ iff the irreducible form of Γ is a solved form

Proof.

Herbrand-Robinson's Unification Algorithm

Lemma 18 (Termination)

The rules terminate

Proof.

Take as complexity measure of Γ , the number of variables in non-solved form, and the size of Γ , ordered lexicographically □

Proposition 19 (Decidability of unification)

$CET \models \exists(\Gamma)$ iff the irreducible form of Γ is a solved form

Proof.

An irreducible form is either \perp , in which case Γ is unsatisfiable, or, by case analysis, a solved form, in which case Γ is satisfiable □

Herbrand-Robinson's Unification Algorithm

Corollary 20 (Completeness of CET)

For any equation system Γ , either $CET \vdash \exists(\Gamma)$, or $CET \vdash \neg\exists(\Gamma)$

Corollary 21

$\mathcal{H} \models \exists(\Gamma)$ iff $CET \models \exists(\Gamma)$

Fourier's Alg. for Lin. Ineq. Constraints over \mathcal{R}

Check the satisfiability of a system of linear inequalities

$$\sum_{i=1}^m a_i x_i + c \leq \sum_{j=1}^n b_j y_j + d$$

Normal forms: $t \leq x$, $x \leq t$, or $t \leq 0$, where t is linear and $x \notin V(t)$

The normal form of $s \leq t$ w.r.t. x is noted $\overline{s \leq t}^x$

- $\Gamma \rightarrow \bigwedge_{i=1}^n \bigwedge_{j=1}^m s_i \leq t_j \wedge \Gamma'$
if $\overline{\Gamma}^x = \bigwedge_{i=1}^n s_i \leq x \wedge x \leq \bigwedge_{j=1}^m t_j \wedge \Gamma'$ where $x \notin V(\Gamma')$,
- $s \leq t \wedge \Gamma \rightarrow \Gamma$ if $s, t \in \mathcal{R}$ and $s \leq t$,
- $s \leq t \wedge \Gamma \rightarrow \perp$ if $s, t \in \mathcal{R}$ and $s > t$

The rules terminate

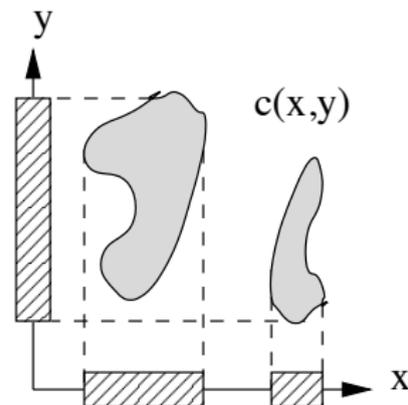
Theorem 22

A system of linear inequalities Γ is satisfiable over \mathcal{R} iff it reduces to the empty system

Constraint Solving by Domain Reduction

Simple reasoning on the **domain of variables** for each constraint **independently**

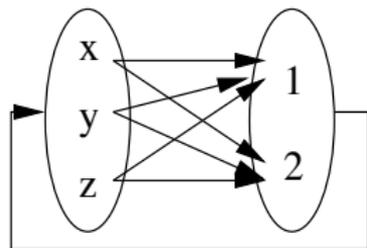
“**Arc consistency**”: for each constraint c ,
for each variable x in c ,
for each value e of the domain of x ,
there exists a solution of c with $x = e$



Example: $x, y, z \in \{1, 2\}$

System $x \neq y \wedge x \neq z \wedge y \neq z$ arc-consistent

Global constraint `all-different([x, y, z])`
non arc-consistent



Domain Reduction over Finite Domains

$$\text{Sol}(\Gamma, \mathcal{FD}) = \{\sigma \mid \sigma = \{x^d \leftarrow v \mid x^d \in V(\Gamma), v \in d\}, \mathcal{FD} \models \Gamma\sigma\}$$

The reduced domain of a variable x^d w.r.t. a basic constraint c is the domain

$$DR(x^d, c) = \{v \in d \mid \mathcal{FD} \models \exists(c[v/x^d])\}$$

A constraint system Γ is **arc-consistent** if

$$\forall c \in \Gamma \forall x^d \in V(c) DR(x^d, c) = d$$

Idea of constraint propagation: reduce the domain of variables independently to make the system arc-consistent

Example $a * X \geq b * Y + d$

Simple interval reasoning:

$$aX^{[k,l]} \geq bY^{[m,n]} + d \quad a, b > 0, d \geq 0$$

Example $a * X \geq b * Y + d$

Simple interval reasoning:

$$aX^{[k,l]} \geq bY^{[m,n]} + d \quad a, b > 0, d \geq 0$$

we have

$$DR(X^{[k,l]}, c) = [\max(k, k'), l]$$

$$DR(Y^{[m,n]}, c) = [m, \min(n, n')]$$

where $k' = \lceil \frac{bm+d}{a} \rceil$ and $n' = \lfloor \frac{al-d}{b} \rfloor$

Domain Reduction Algorithm

Fail: $c \wedge \Gamma \rightarrow \perp$ if $x^d \in V(c)$ and $DR(x^d, c) = \emptyset$.

FC: $c \wedge \Gamma \rightarrow \Gamma\sigma$

if $V(c) = \{x^d\}$, $d' = DR(x^d, c)$, $d' \neq \emptyset$, and $\sigma = \{x^d \leftarrow y^{d'}\}$

LA: $c \wedge \Gamma \rightarrow c\sigma \wedge \Gamma\sigma$

if $|V(c)| > 1$,

$x^d \in V(c)$, $d' = DR(x^d, c)$, $d' \neq \emptyset$, $d' \neq d$, $\sigma = \{x^d \leftarrow y^{d'}\}$

PLA: $c \wedge \Gamma \rightarrow c\sigma \wedge \Gamma\sigma$

if $|V(c)| > 1$, $x^d \in V(c)$, $DR(x^d, c) \subset d' \subsetneq d$, $d' \neq \emptyset$, $\sigma = \{x^d \leftarrow y^{d'}\}$

EL: $c \wedge \Gamma \rightarrow \Gamma$

if $\mathcal{FD} \models c\sigma$ for every valuation σ of the variables in c by values of their domain

Domain Reduction Algorithm (continued)

Lemma 23 (Validity)

If $\Gamma \xrightarrow{\sigma^*} \Gamma'$ then $Sol(\Gamma, \mathcal{FD}) = \{\sigma\theta \mid \theta \in Sol(\Gamma', \mathcal{FD})\}$.

Proposition 24 (Completeness of LA for 2 var. ineq.)

Let Γ be a constraint system of the form

$$aX \geq bY + d \quad a, b > 0, d \geq 0.$$

Let $\Gamma \xrightarrow{\sigma^*} \Gamma' \not\rightarrow$ Then Γ is satisfiable if and only if $\Gamma' \neq \perp$

Proof.

If $\Gamma' \neq \perp$ is an irreducible form of Γ then for all $c \in \Gamma'$ and $x \in V(c)$ we have $DR(x^d, c) = d$ and $\{x^{[k,l]} \leftarrow k \mid x \in V(\Gamma')\}$ is a solution of Γ' □

CLP(\mathcal{FD}) scheduling

Simple PERT problem

```
| ?- fd_minimize((B#>=A+5, C#>=B+2, D#>=B+3,  
                E#>=C+5, E#>=D+5), E).  
A = 0, B = 5, D = 8, E = 13, C = _#1(7..8) ?  
yes
```

Disjunctive scheduling is NP-hard

```
| ?- fd_minimize((B#>=A+5, C#>=B+2, D#>=B+3, E#>=C+5,  
                E#>=D+5, (C#>=D+5 ; D#>=C+5)), E).  
A = 0, B = 5, C = 7, D = 12, E = 17 ? ;  
no
```

Disjunctive scheduling: bridge problem (4000 nodes)

