

# Constraint Logic Programming

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# Full abstraction

## Theorem 1 ([JL87popl])

$$T_P^{\mathcal{X}} \uparrow \omega = O_{gs}(P)$$

$T_P^{\mathcal{X}} \uparrow \omega \subset O_{gs}(P)$  is proved by induction on the powers  $n$  of  $T_P^{\mathcal{X}}$ .  $n = 0$ , i.e.,  $\emptyset$ , is trivial. Let  $A_\rho \in T_P^{\mathcal{X}} \uparrow n$ , there exists a rule  $(A \leftarrow c | A_1, \dots, A_n) \in P$ , s.t.  $\{A_{1\rho}, \dots, A_{n\rho}\} \subset T_P^{\mathcal{X}} \uparrow n - 1$  and  $\mathcal{X} \models c\rho$ . By induction  $\{A_{1\rho}, \dots, A_{n\rho}\} \subset O_{gs}(P)$ . By definition of  $O_{gs}$  and  $\wedge$ -compositionality. we get  $A_\rho \in O_{gs}(P)$ .

$O_{gs}(P) \subset T_P^{\mathcal{X}} \uparrow \omega$  is proved by induction on the length of derivations. Successes with derivation of length 0 are ground facts in  $T_P^{\mathcal{X}} \uparrow 1$ . Let  $A_\rho \in O_{gs}(P)$  with a derivation of length  $n$ . By definition of  $O_{gs}$  there exists  $(A \leftarrow c | A_1, \dots, A_n) \in P$  s.t.  $\{A_{1\rho}, \dots, A_{n\rho}\} \subset O_{gs}(P)$  and  $\mathcal{X} \models c\rho$ . By induction  $\{A_{1\rho}, \dots, A_{n\rho}\} \subset T_P^{\mathcal{X}} \uparrow \omega$ . Hence by definition of  $T_P^{\mathcal{X}}$  we get  $A_\rho \in T_P^{\mathcal{X}} \uparrow \omega$ .

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13 Logical Semantics of  $\text{CLP}(\mathcal{X})$

14 Automated Deduction

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16 Negation as Failure

# Soundness of CSLD Resolution

## Theorem 2 ([JL87popl])

*If  $c$  is a computed answer for the goal  $G$  then  $M_p^{\mathcal{X}} \models c \supset G$ ,  
 $P \models_{\mathcal{X}} c \supset G$  and  $P, \mathcal{T} \models c \supset G$ .*

If  $G = (d | A_1, \dots, A_n)$ , we deduce from the  $\wedge$ -compositionality lemma, that there exist computed answers  $c_1, \dots, c_n$  for the goals  $A_1, \dots, A_n$  such that  $c = d \wedge \bigwedge_{i=1}^n c_i$  is satisfiable. For every  $1 \leq i \leq n$

$c_i | A_i \in S_p^{\mathcal{X}} \uparrow \omega$ ,

$[c_i | A_i]_{\mathcal{X}} \subset M_p^{\mathcal{X}}$ , hence  $M_p^{\mathcal{X}} \models \forall (c_i \supset A_i)$ ,

$P \models_{\mathcal{X}} \forall (c_i \supset A_i)$  as  $M_p^{\mathcal{X}}$  is the least  $\mathcal{X}$ -model of  $P$ ,

$P \models_{\mathcal{X}} \forall (c \supset A_i)$  as  $\mathcal{X} \models \forall (c \supset c_i)$  for all  $i$ ,  $1 \leq i \leq n$ .

Therefore we have  $P \models_{\mathcal{X}} \forall (c \supset (d \wedge A_1 \wedge \dots \wedge A_n))$ ,

and as the same reasoning applies to any model  $\mathcal{X}$  of  $\mathcal{T}$ ,

$P, \mathcal{T} \models \forall (c \supset (d \wedge A_1 \wedge \dots \wedge A_n))$

# Completeness of CSLD resolution

## Theorem 3 ([Maher87iclp])

If  $M_p^x \models c \supset G$  then there exists a set  $\{c_i\}_{i \geq 0}$  of computed answers for  $G$ , such that:  $\mathcal{X} \models \forall(c \supset \bigvee_{i \geq 0} \exists Y_i c_i)$ .

## Proof.

For every solution  $\rho$  of  $c$ , for every atom  $A_j$  in  $G$ ,  
 $M_p^x \models A_j \rho$  iff  $A_j \rho \in T_p^x \uparrow \omega$ , iff  $A_j \rho \in [S_p^x \uparrow \omega]_{\mathcal{X}}$   
iff  $c_{j,\rho} | A_j \in S_p^x \uparrow \omega$ , for some constraint  $c_{j,\rho}$  s.t.  $\rho$  is solution of  $\exists Y_{j,\rho} c_{j,\rho}$ ,  
where  $Y_{j,\rho} = V(c_{j,\rho}) \setminus V(A_j)$ ,  
iff  $c_{j,\rho}$  is a computed answer for  $A_j$  and  $\mathcal{X} \models \exists Y_{j,\rho} c_{j,\rho}$ .  
Let  $c_\rho$  be the conjunction of  $c_{j,\rho}$  for all  $j$ .  $c_\rho$  is a computed answer for  $G$ .

By taking the collection of  $c_\rho$  for all  $\rho$  we get  $\mathcal{X} \models \forall(c \supset \bigvee_{c_\rho} \exists Y_\rho c_\rho)$   $\square$



# Completeness w.r.t. the theory of the structure

## Theorem 4 ([Maher87iclp])

If  $P, \mathcal{T} \models c \supset G$  then there exists a finite set  $\{c_1, \dots, c_n\}$  of computed answers to  $G$ , such that:

$$\mathcal{T} \models \forall (c \supset \exists Y_1 c_1 \vee \dots \vee \exists Y_n c_n).$$

### Proof.

If  $P, \mathcal{T} \models c \supset G$  then for every model  $\mathcal{X}$  of  $\mathcal{T}$ , for every  $\mathcal{X}$ -solution  $\rho$  of  $c$ , there exists a computed constraint  $c_{\mathcal{X}, \rho}$  for  $G$  s.t.  $\mathcal{X} \models c_{\mathcal{X}, \rho}$ .

Let  $\{c_i\}_{i \geq 1}$  be the set of these computed answers. Then for every model  $\mathcal{X}$  and for every  $\mathcal{X}$ -valuation  $\rho$ ,  $\mathcal{X} \models c \supset \bigvee_{i \geq 1} \exists Y_i c_i$ ,

therefore  $\mathcal{T} \models c \supset \bigvee_{i \geq 1} \exists Y_i c_i$ ,

As  $\mathcal{T} \cup \{\exists (c \wedge \neg \exists Y_i c_i)\}_i$  is unsatisfiable, by applying the compactness theorem of first-order logic there exists a finite part  $\{c_i\}_{1 \leq i \leq n}$ ,

s.t.  $\mathcal{T} \models c \supset \bigvee_{i=1}^n \exists Y_i c_i$ . □

# Part V: Constraint Solving

17 Solving by Rewriting

18 Solving by Domain Reduction

## Reified constraints in $CLP(B, FD)$

The **reified constraint**  $B \Leftrightarrow (X < Y)$   
associates a **boolean** variable  $B$  to the satisfaction of the  
constraint  $X < Y$

### **Arc consistency:**

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$B$  is set to 1 when  $domain(X) < domain(Y)$ ,

$B$  is set to 0 when  $domain(Y) \leq domain(X)$

$domain(X)$  is set to  $\{v \in domain(X) \mid v < max(Y)\}$  when  $B = 1$ ,

$domain(Y)$  is set to  $\{v \in domain(Y) \mid v > min(X)\}$  when  $B = 1$ ,

$domain(X)$  is set to  $\{v \in domain(X) \mid v \geq min(Y)\}$  when  $B = 0$ ,

$domain(Y)$  is set to  $\{v \in domain(Y) \mid v \leq max(X)\}$  when  $B = 0$

# Cardinality constraint

**Cardinality constraint**  $\text{card}(N, [C_1, \dots, C_m])$  is true iff there are exactly  $N$  constraints true in  $[C_1, \dots, C_m]$ .

```
card(0, []).  
card(N, [C | L]) :-  
    B in 0..1,  
    B #<=> C,  
    N #= B + M,  
    card(M, L).
```

## Time Tabling

The organizers of a congress have 3 rooms and 2 days for eleven half-day sessions. Sessions AJ, JI, IE, CF, BHK, ABCH, DFJ can't be simultaneous, moreover  $E < J$ ,  $D < K$ ,  $F < K$

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```
| ?- [A,B,C,D,E,F,G,H,I,J,K] ins 1..4,  
    all_different([A,J]),all_different([J,I]),  
    all_different([I,E]),all_different([B,H,K]),  
    all_different([A,B,C,H]),all_different([D,F,J]),  
    J#>E, K#>D, K#>F,  
    atmost(3,[A=1,B=1,C=1,D=1,E=1,F=1,G=1,H=1,I=1,J=1,K=1]),  
    atmost(3,[A=2,B=2,C=2,D=2,E=2,F=2,G=2,H=2,I=2,J=2,K=2]),  
    atmost(3,[A=3,B=3,C=3,D=3,E=3,F=3,G=3,H=3,I=3,J=3,K=3]),  
    atmost(3,[A=4,B=4,C=4,D=4,E=4,F=4,G=4,H=4,I=4,J=4,K=4]),  
    labeling([A,B,C,D,E,F,G,H,I,J,K]).
```

A=1, B=2, C=4, D=1, E=2, F=2, G=4, H=3, I=1, J=3, K=4 ?



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$$\bigwedge_{j=0}^{n-1} \text{card}(i_j, [i_0 = j, \dots, i_{n-1} = j])$$

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- Constraint propagation with reified constraints  $b_k \Leftrightarrow i_k = j$ ,
- Redundant constraints  $n = \sum_{j=0}^{n-1} i_j$ ,
- Enumeration with first fail heuristics,
- Less than one second CPU for  $n = 50$ ...

## Multiple Modeling in CLP( $\mathcal{FD}$ )

N-queens with two **concurrent models**: by lines and by columns

```
queens2(N, L) :-
    length(Column, N), Column ins 1..N, safe(Column),
    length(Line, N),   Line ins 1..N,   safe(Line),
    linking(Line, 1, Column),
    append(Line, Column, L), labeling([ff], L).

linking([], _, _).
linking([X | L], I, C) :-
    equivalence(X, I, C, 1),
    I1 is I + 1,
    linking(L, I1, C).

equivalence(_, _, [], _).
equivalence(X, I, [Y | L], J) :-
    B #<=> (X#=J), B #<=> (Y#=I),
    J1 is J + 1,
    equivalence(X, I, L, J1).
```

## Lexicographic order constraint

$\text{lex}([X_1, \dots, X_n])$

iff  $X_1 < X_2$  or  $(X_1 = X_2$  and  $(X_2 < X_3 \dots$  or  $X_{n-1} \leq X_n))$

## Lexicographic order constraint

`lex([X1, ..., Xn])`

iff  $X_1 < X_2$  or ( $X_1 = X_2$  and ( $X_2 < X_3 \dots$  or  $X_{n-1} \leq X_n$ ))

```
lex(L) :-
```

```
    lex(L, B),
```

```
    B = 1.
```

```
lex([], 1).
```

```
lex([_], 1).
```

```
lex([X, Y | L], R) :-
```

```
    B #<=> (X #< Y),
```

```
    C #<=> (X #= Y),
```

```
    lex([Y | L], D),
```

```
    R #<=> B #\ / (C #/\ D).
```

## Programming in $CLP(\mathcal{H}, \mathcal{B}, \mathcal{FD}, \mathcal{R})$

- **Basic constraints** on domains of terms  $\mathcal{H}$ , bounded integers  $\mathcal{FD}$ , reals  $\mathcal{R}$ , booleans  $\mathcal{B}$ , ontologies  $\mathcal{H}_{\leq}$ , etc.
- **Relations defined extensionally** by constrained facts:

```
precedence(X, D, Y) :- X + D #< Y.  
disjonctives(X, D, Y, E) :- X + D #< Y.  
disjonctives(X, D, Y, E) :- Y + E #< X.
```

and *intentionally* by rules:

```
labeling([]).  
labeling([X | L]) :-  
    fd_dom(X, D), member(X, D), labeling(L).
```

- Programming of search procedures and heuristics:
  - And-parallelism (variable choice):** “first-fail” heuristics  
min domain
  - Or-parallelism (value choice):** “best-first” heuristics  
min value



## Part VI

# Practical CLP Programming

## Part VI: Practical CLP Programming

- 19 CLP implementation, the WAM
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# The Warren Abstract Machine

First Prolog implementation in the early 70's (by Colmerauer et al.).

In 1983, David H. Warren creates the [Warren Abstract Machine](#).

Remains the state of the art (for term representation, basic instructions, ...)

Slightly extended for CLP

(C)SLD resolution seen as a call stack (with marks for choice points)

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Remains the state of the art (for term representation, basic instructions, ...)

Slightly extended for CLP ([constraints instead of substitutions](#))

(C)SLD resolution seen as a call stack (with marks for choice points)

## Optimizations from the WAM

Search for predicates should be almost in constant time

Use a hash table - **indexing** - for the predicate name/arity,

Each call normally adds a frame to the call stack (removed on backtracking)

As for other programming paradigms, not always necessary

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Each call normally adds a frame to the call stack (removed on backtracking)

As for other programming paradigms, not always necessary

**Tail recursion** can be optimized, when calling and called contexts are **deterministic**.



# Putting it all together

## Naive sum

```
sum(0, []).  
sum(S, [H | T]) :-  
    sum(S1, T),  
    S is S1 + H.
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sum(S, [H | T]) :-  
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    S is S1 + H.
```

## Much better

```
sum(S, L) :-  
    sum_aux(L, 0, S).  
  
sum_aux([], S, S).  
sum_aux([H | T], S0, S) :-  
    S1 is S0 + H,  
    sum_aux(T, S1, S).
```

## Putting it all together

If numbers are coded as the fact `number(X)`?

```
sum(S) :- findall(X, number(X), L), sum(S, L).
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```
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```

```
sum(S) :-  
    g_assign(sum, 0),           % nb_setval/assert  
    (  
        number(N),  
        g_read(sum, S1),  
        S2 is S1 + N,  
        g_assign(sum, S2),  
        fail  
    );  
    g_read(sum, S)             % nb_getval/retract  
).
```

# Cutting choice-points

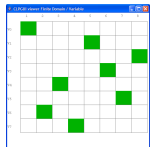
```
try(S) :-  
    stream_property(S,  
                    input),  
    (  
        repeat,  
        read_term(S, G),  
        call(G),  
        ground(G),  
        !,  
        write(G)  
    ).  
try(S) :-  
    ...
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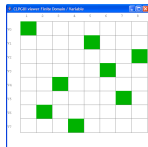
# Symmetries in the N-queens problem



$\text{queens}(N, [X_1, \dots, X_N])$

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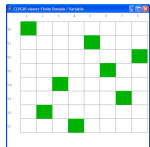


$\text{queens}(N, [X_1, \dots, X_N])$

iff  $\text{queens}(N, [X_N, \dots, X_1])$  *vertical axis symmetry*



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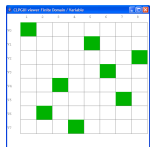


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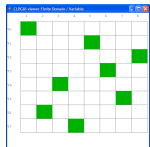
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iff  $\text{queens}(N, [N+1-X_1, \dots, N+1-X_N])$  *horizontal axis symmetry*

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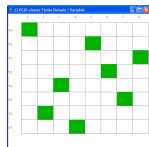
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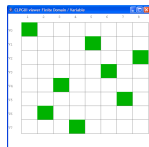
iff  $\text{queens}(N, [N+1-X_1, \dots, N+1-X_N])$  *horizontal axis symmetry*

*value symmetry*

iff  $\text{queens}(N, [Y_1, \dots, Y_N])$  where  $X_i = j$  iff  $Y_j = N+1-i$  *rotation symmetry*

*variable-value symmetry*

# Symmetries in the N-queens problem



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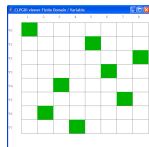
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*variable-value symmetry*

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# Symmetries in the N-queens problem



$\text{queens}(N, [X_1, \dots, X_N])$

iff  $\text{queens}(N, [X_N, \dots, X_1])$  *vertical axis symmetry*

*variable symmetry* broken by  $X_1 < X_N$

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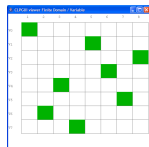
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*variable symmetry broken by  $X_1 < X_N$*

iff  $\text{queens}(N, [N+1-X_1, \dots, N+1-X_N])$  *horizontal axis symmetry*

*value symmetry broken by  $X_1 < 5$*

iff  $\text{queens}(N, [Y_1, \dots, Y_N])$  where  $X_i = j$  iff  $Y_j = N+1-i$  *rotation symmetry*

*variable-value symmetry*

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## Variable Symmetries

Given a Constraint Satisfaction Problem  $c(x_1, \dots, x_n)$  over  $\mathcal{X}$  a **variable symmetry**  $\sigma$  is a bijection on variables that preserves solutions:

$$\mathcal{X} \models c(x_1, \dots, x_n) \text{ iff } \mathcal{X} \models c(x_{\sigma(1)}, \dots, x_{\sigma(n)})$$

### Proposition 5 ([Crawford96kr])

*If  $(\mathcal{X}, \leq)$  is an order, all variable symmetries can be broken by the global constraint*

$$\bigwedge_{\sigma \in \Sigma} [x_1, \dots, x_n] \leq_{lex} [x_{\sigma(1)}, \dots, x_{\sigma(n)}]$$



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### Proof.

This is one way to choose a unique member in each equivalence class of symmetric assignments. □

# Variable Symmetry Breaking

Global constraint  $[x_1, \dots, x_n] \leq_{lex} [x_{\sigma(1)}, \dots, x_{\sigma(n)}]$

arc consistent (AC) if for every variable, every value in its domain belongs to a solution

# Breaking Several Variable Symmetries

## Proposition 6 ([Puget05cp,Walsh06cp])

$AC(\bigwedge_{\sigma \in \Sigma} [x_1, \dots, x_n] \leq_{lex} [x_{\sigma(1)}, \dots, x_{\sigma(n)}])$  is strictly stronger than  $\bigwedge_{\sigma \in \Sigma} AC([x_1, \dots, x_n] \leq_{lex} [x_{\sigma(1)}, \dots, x_{\sigma(n)}])$ .

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Let  $x_1, x_2, x_4 \in \{0, 1\}$  and  $x_3 = 1$ . Consider two symmetries (1243) and (1423), we have  $AC([x_1, x_2, x_3, x_4] \leq_{lex} [x_2, x_4, x_1, x_3])$  and  $AC([x_1, x_2, x_3, x_4] \leq_{lex} [x_4, x_3, x_1, x_2])$ .

cases  $x_1 = 0$   $[x_1 \ x_2 \ x_3 \ x_4] \leq_{lex} [x_2 \ x_4 \ x_1 \ x_3]$   $[x_1 \ x_2 \ x_3 \ x_4] \leq_{lex} [x_4 \ x_3 \ x_1 \ x_2]$

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$$\text{cases } x_1 = 0 \quad [x_1 \quad x_2 \quad x_3 \quad x_4] \leq_{lex} [x_2 \quad x_4 \quad x_1 \quad x_3] \quad [x_1 \quad x_2 \quad x_3 \quad x_4] \leq_{lex} [x_4 \quad x_3 \quad x_1 \quad x_2]$$

$\begin{matrix} x_1 & x_2 & x_3 & x_4 \\ 0 & 0 & 1 & 1 \end{matrix}$

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cases  $[x_1 \ x_2 \ x_3 \ x_4] \leq_{lex} [x_2 \ x_4 \ x_1 \ x_3] \quad [x_1 \ x_2 \ x_3 \ x_4] \leq_{lex} [x_4 \ x_3 \ x_1 \ x_2]$   
 $x_1 = 0 \quad \begin{matrix} x_1 & x_2 & x_3 & x_4 \\ 0 & 0 & 1 & 0 \end{matrix} \leq_{lex} \begin{matrix} x_2 & x_4 & x_1 & x_3 \\ 0 & 1 & 0 & 1 \end{matrix} \quad \begin{matrix} x_1 & x_2 & x_3 & x_4 \\ 0 & 0 & 1 & 0 \end{matrix} \leq_{lex} \begin{matrix} x_4 & x_3 & x_1 & x_2 \\ 0 & 1 & 0 & 1 \end{matrix}$   
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cases	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_2$	$x_4$	$x_1$	$x_3]$	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_4$	$x_3$	$x_1$	$x_2]$
$x_1 = 0$	0	0				0	1			0	0				0	1		
$x_1 = 1$	1	1	1	1		1	1	1	1									

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cases	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_2$	$x_4$	$x_1$	$x_3]$	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_4$	$x_3$	$x_1$	$x_2]$
$x_1 = 0$	0	0				0	1			0	0				0	1		
$x_1 = 1$	1	1	1	1		1	1	1	1	1	0				1	1		
$x_2 = 0$																		

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cases	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_2$	$x_4$	$x_1$	$x_3]$	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_4$	$x_3$	$x_1$	$x_2]$
$x_1 = 0$	0	0				0	1			0	0				0	1		
$x_1 = 1$	1	1	1	1		1	1	1	1	1	0				1	1		
$x_2 = 0$	0	0				0	1			1	0							



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cases	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_2$	$x_4$	$x_1$	$x_3]$	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_4$	$x_3$	$x_1$	$x_2]$
$x_1 = 0$	0	0				0	1			0	0				0	1		
$x_1 = 1$	1	1	1	1		1	1	1	1	1	0				1	1		
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cases	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_2$	$x_4$	$x_1$	$x_3]$	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_4$	$x_3$	$x_1$	$x_2]$
$x_1 = 0$	0	0				0	1			0	0				0	1		
$x_1 = 1$	1	1	1	1		1	1	1	1	1	0				1	1		
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cases	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_2$	$x_4$	$x_1$	$x_3]$	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_4$	$x_3$	$x_1$	$x_2]$
$x_1 = 0$	0	0				0	1			0	0				0	1		
$x_1 = 1$	1	1	1	1		1	1	1	1	1	0				1	1		
$x_2 = 0$	0	0				0	1			0	0				1			
$x_2 = 1$	0	1				1				0	1				1			
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cases	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_2$	$x_4$	$x_1$	$x_3]$	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_4$	$x_3$	$x_1$	$x_2]$
$x_1 = 0$	0	0				0	1			0	0				0	1		
$x_1 = 1$	1	1	1	1		1	1	1	1	1	0				1	1		
$x_2 = 0$	0	0				0	1			0	0				1			
$x_2 = 1$	0	1				1				0	1				1			
$x_4 = 0$	0					1												

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cases	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_2$	$x_4$	$x_1$	$x_3]$	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_4$	$x_3$	$x_1$	$x_2]$
$x_1 = 0$	0	0				0	1			0	0				0	1		
$x_1 = 1$	1	1	1	1		1	1	1	1	1	0				1	1		
$x_2 = 0$	0	0				0	1			0	0				1			
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cases	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_2$	$x_4$	$x_1$	$x_3]$	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_4$	$x_3$	$x_1$	$x_2]$
$x_1 = 0$	0	0				0	1			0	0				0	1		
$x_1 = 1$	1	1	1	1		1	1	1	1	1	0				1	1		
$x_2 = 0$	0	0				0	1			0	0				1			
$x_2 = 1$	0	1				1				0	1				1			
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cases	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_2$	$x_4$	$x_1$	$x_3]$	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_4$	$x_3$	$x_1$	$x_2]$
$x_1 = 0$	0	0				0	1			0	0				0	1		
$x_1 = 1$	1	1	1	1		1	1	1	1	1	0				1	1		
$x_2 = 0$	0	0				0	1			0	0				1			
$x_2 = 1$	0	1				1				0	1				1			
$x_4 = 0$	0					1				0	0				0	1		
$x_4 = 1$	0					1				0					1			

□

However, their conjunction is not AC. Indeed, suppose that  $x_4 = 0$ ,

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cases	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_2$	$x_4$	$x_1$	$x_3]$	$[x_1$	$x_2$	$x_3$	$x_4]$	$\leq_{lex}$	$[x_4$	$x_3$	$x_1$	$x_2]$
$x_1 = 0$	0	0				0	1			0	0				0	1		
$x_1 = 1$	1	1	1	1		1	1	1	1	1	0				1	1		
$x_2 = 0$	0	0				0	1			0	0				1			
$x_2 = 1$	0	1				1				0	1				1			
$x_4 = 0$	0					1				0	0				0	1		
$x_4 = 1$	0					1				0					1			

□

However, their conjunction is not AC. Indeed, suppose that  $x_4 = 0$ , we have  $x_1 = x_2 = 0$  and  $x_3 = 0$ , which is not possible.



## Value Symmetry Breaking

A **value symmetry** is a bijection  $\sigma$  on values that preserves solutions.

$\{x_i = v_i | 1 \leq i \leq n\}$  is a solution iff  $\{x_i = \sigma(v_i) | 1 \leq i \leq n\}$  is a solution

All value symmetries can be broken by posting for each value symmetry  $\sigma$

$[x_1, \dots, x_n] \leq_{lex} [\sigma(x_1), \dots, \sigma(x_n)]$  [PS03cp]

**Example 7** ( $\sigma(i) = n + 1 - i$ )

The symmetry breaking constraint implies  $x_1 \leq n + 1 - x_1$

If  $n$  is even,

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All value symmetries can be broken by posting for each value symmetry  $\sigma$

$[x_1, \dots, x_n] \leq_{lex} [\sigma(x_1), \dots, \sigma(x_n)]$  [PS03cp]

### Example 7 ( $\sigma(i) = n + 1 - i$ )

The symmetry breaking constraint implies  $x_1 \leq n + 1 - x_1$

If  $n$  is even, the constraint is thus equivalent to  $x_1 \leq \frac{n}{2}$

If  $n$  is odd, it is equivalent to  $x_1 \leq \frac{n+1}{2} \wedge x_1 = \frac{n+1}{2} \Rightarrow x_2 \leq \frac{n+1}{2} \wedge \dots$

## Breaking Variable and Value Symmetries

### Theorem 8 ([Puget05cp,Walsh06cp])

*The constraints  $[x_1, \dots, x_n] \leq_{lex} [x_{\sigma(1)}, \dots, x_{\sigma(n)}]$  for each variable symmetry  $\sigma \in \Sigma$*

*and  $[x_1, \dots, x_m] \leq_{lex} [\sigma'(x_1), \dots, \sigma'(x_n)]$  for each value symmetry  $\sigma' \in \Sigma'$*

*leave at least one assignment in each equivalence class of solutions.*

### Proof.

For any assignment  $\nu$ ,

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### Proof.

For any assignment  $\nu$ , one can pick the lex leader  $\nu_1$  of  $\nu$  under  $\Sigma$  and then the lex leader  $\nu_2$  of  $\nu_1$  under  $\Sigma'$

If  $\nu_2$  does not satisfy the lex leader constraint under  $\Sigma$ , iterate. As the lexicographic orders are well-founded, the process terminates, with an assignment that satisfies all lex leader constraints. □

## Breaking Several Variable and Value Symmetries

The iterated lex leader may leave several symmetric assignments.

### Example 9

Consider the composition of the reflection symmetries on both variables and boolean values.

The solutions  $[0, 1, 1]$  and  $[0, 0, 1]$  are symmetric but satisfy the lex constraints

$$[x_1, x_2, x_3] \leq [x_3, x_2, x_1]$$

$$[x_1, x_2, x_3] \leq [\neg x_1, \neg x_2, \neg x_3]$$

Indeed  $[0, 1, 1] \leq [1, 1, 0]$  and  $[0, 1, 1] \leq [1, 0, 0]$

$[0, 0, 1] \leq [1, 0, 0]$  and  $[0, 0, 1] \leq [1, 1, 0]$

hence both symmetric solutions  $[0, 1, 1]$  and  $[0, 0, 1]$  are lex leaders.

## Variable-Value Symmetries

**Definition** A variable-value symmetry (or *general symmetry*) is a bijection  $\sigma$  on pairs (variable, value) that preserves solutions.

**Definition** A valuation  $[x_1, \dots, x_n]$  is admissible for  $\sigma$  iff  $|\{k \mid x_i = j, \sigma(i, j) = (k, l)\}| = n$ .

E.g. In the 4-queens, the assignment  $[2, 3, 1, 4]$  is admissible for r90 but not  $[2, 3, 3, 4]$ .

If  $[x_1, \dots, x_n]$  is **admissible** for  $\sigma$ , let  $\sigma[x_1, \dots, x_n]$  be its image under  $\sigma$ ,  $\sigma[x_1, \dots, x_n] = [y_1, \dots, y_n]$  where  $y_k = l$  whenever  $x_i = j$  and  $\sigma(i, j) = (k, l)$



# Variable-Value Symmetry Breaking

## Proposition 10

*All variable-value symmetries can be broken by posting the constraints*

$$\bigwedge_{\sigma \in \Sigma} \text{admissible}(\sigma, [x_1, \dots, x_n]) \wedge [x_1, \dots, x_n] \leq_{\text{lex}} \sigma[x_1, \dots, x_n]$$

## Example 11

In the 4-queens, let  $x_1 = 2$ ,  $x_2 \in \{1, 3, 4\}$ ,  $x_3$  and  $x_4 \in \{1, 2, 3, 4\}$   
 $\text{r90}[x_1, \dots, x_4]$  prunes  $X_3 \neq 2$  and  $X_4 \neq 2$  for admissibility, and  
 $x_4 \neq 1$  for lex.

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# Symmetric Constraints

Consider a set  $\Sigma$  of symmetries, such that for any constraint  $c$  and all  $\sigma \in \Sigma$  one can find a constraint  $\sigma(c)$  corresponding to the symmetric of  $c$

$$\mathcal{X} \models \sigma(c)\rho \Leftrightarrow c\sigma(\rho)$$

For example, if  $\sigma$  is the value symmetry that turns  $v$  into  $N - v$  we have  $\sigma(x = v)$  is

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For example, if  $\sigma$  is the value symmetry that turns  $v$  into  $N - v$  we have  $\sigma(X = v)$  is  $X = (N - v)$

We can now define a technique for removing symmetries adding constraints when choice-points are explored, *à la* branch and bound.

# Enumerating Solutions

The general method of enumeration of solutions is, at each choice-point, to add

- on one branch the constraint  $c$  assigning a value to a variable;
- on the other branch the negation of this constraint  $\neg c$

SBDS adds supplementary constraints on the second branch:

supposing a partial assignment  $\mathcal{A}$  at the choice-point, for all  $\sigma \in \Sigma$  such that  $\sigma(\mathcal{A}) = \mathcal{A}$  one adds  $\sigma(\neg c)$ .



## Example

Consider the 4-queens problem over  $X_1, X_2, X_3, X_4 \in \{1, 2, 3, 4\}$

with a single (value-)symmetry:  $v \mapsto 5 - v$

suppose that at the top of the search tree the leftmost branch corresponds to  $X_1 = 1$

when backtracking at the top, the next branch to explore will correspond to the constraint:

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$$X_1 \neq 1 \wedge X_1 \neq 4$$

# Unicity

## Theorem 12 (Non-symmetric Solutions)

*If  $\rho_1$  and  $\rho_2$  are two solutions obtained by SBDS, then*

$$\forall \sigma \in \Sigma \quad \sigma(\rho_1) \neq \rho_2$$

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We have  $\sigma_0(\mathcal{A}) = \mathcal{A}$

since both are solutions, we get that  $c$  is true in  $\rho_1$   
and that  $\sigma_0(\neg c)$  is true in  $\rho_2$  i.e.,  $\neg c$  is true in  $\rho_1$

$\Rightarrow$  contradiction □